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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/995,483	11/28/2001	Woodrow W. Pearce	45955/I351	2251
	7590 03/30/201 RKER & HALE, LLP	EXAMINER		
PO BOX 7068			HYLTON, ROBIN ANNETTE	
PASADENA, CA 91109-7068			ART UNIT	PAPER NUMBER
			3781	
			MAIL DATE	DELIVERY MODE
			03/30/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Asking Owners	09/995,483	PEARCE, WOODROW W.				
Office Action Summary	Examiner	Art Unit				
	ROBIN HYLTON	3781				
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING [2]  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	I.  lely filed  the mailing date of this communication.  (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on						
	s action is non-final.					
3) Since this application is in condition for allows		secution as to the merits is				
•	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4) Claim(s) 1 4-7 10 14-18 22 23 27 28 30 31 ar	ad 115-118 is/are pending in the ar	polication				
	Claim(s) <u>1,4-7,10,14-18,22,23,27,28,30,31 and 115-118</u> is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) <u>1,4-7,14-17,22,23,27,28,30 and 115</u>						
6)⊠ Claim(s) <u>10,18 and 31</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/	or election requirement.					
Application Papers	·					
9) The specification is objected to by the Examin		to be the order				
10) ☑ The drawing(s) filed on 01 October 2010 is/are	, , , , , , , , , , , , , , , , , , , ,	•				
Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct	- · · · · · · · · · · · · · · · · · · ·					
11) The oath or declaration is objected to by the E		` '				
	xammer. Note the attached Office	Action of form F10-132.				
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority document</li> <li>2. Certified copies of the priority document</li> <li>3. Copies of the certified copies of the priority application from the International Bureat</li> <li>* See the attached detailed Office action for a list</li> </ul>	nts have been received. Its have been received in Applicationity documents have been received au (PCT Rule 17.2(a)).	on No ed in this National Stage				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)	4) ☐ Interview Summary Paper No(s)/Mail Da 5) ☐ Notice of Informal P	tte				
Paper No(s)/Mail Date	6)					

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#### **DETAILED ACTION**

#### **Drawings**

- 1. The drawings were received on December 1, 2010. These drawings are not approved since Figure 15 was not an original patent drawing figure. Additionally, Figure 15 introduces new matter into the disclosure. Applicant should submit only a *clean copy of each drawing sheet* of the printed **patent** at the time the reissue application is filed.
- 2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, a) the combination of a plurality of concentric ridges on a top portion inner surface of the cap **and** a liner fitted over the top portion inner surface (as set forth in claim 5) and b) the at least a slot formed across each must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant

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will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Specification

3. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: "wherein the bottle neck rim is engaged by all of said plurality of ridges along a majority of a circumferential length of the bottle neck rim" and "wherein said majority of a circumferential length may be a non-continuous circumferential length".

The amendment filed October 1, 2010 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: "FIG. 15 is a partial cross-sectional bottom view of a cap according to FIG. 4 with the liner shown in FIG. 7".

Applicant is required to cancel the new matter in the reply to this Office Action.

## Terminal Disclaimer

4. The terminal disclaimer filed on October 1, 2010 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of co-pending Application 11/041,925 has been reviewed and is NOT accepted.

The language 35 USC 154 to 156 is unacceptable because 155 and 156 do not define the term of the patent. It should read 35 USC 154 and 173.

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# Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 10, 18, and 31 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 61, 62, 64, 65, and 67of copending Application No. 11/041,925. Although the conflicting claims are not identical, they

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are not patentably distinct from each other because each discloses a liner and/or cap having a plurality of grooves/slots extending there across to create a gas path. The instant claims disclose the grooves to be intersecting grooves, whereas the co-pending application sets forth the slots a transversely extending. It would have been obvious to one of ordinary skill in the art at the time the invention was made to set forth the instant claims as intersecting grooves to provide a broader claim scope or to alternative set forth the claims as transversely extending to provide a more precise indication of the inventive concept.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

# Allowable Subject Matter

- 7. Claims 1,4-7,14-17,22,23,27,28,30, and 115-118 are allowed.
- 8. Claims 10, 18, and 31 would be allowable if rewritten to overcome the rejection(s) under non-statutory double patenting, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

## Conclusion

- 9. Applicant is duly reminded that a complete response must satisfy the requirements of 37 C.F.R. 1.111, including: "The reply must present arguments pointing out the specific distinctions believed to render the claims, including any newly presented claims, patentable over any applied references. A general allegation that the claims "define a patentable invention" without specifically pointing out how the language of the claims patentably distinguishes them from the references does not comply with the requirements of this section. Moreover, "The prompt development of a clear Issue requires that the replies of the applicant meet the objections to and rejections of the claims." Applicant should also specifically point out the support for any amendments made to the disclosure. See MPEP 2163.06 II(A), MPEP 2163.06 and MPEP 714.02. The "disclosure" includes the claims, the specification and the drawings.
- 10. In order to reduce pendency and avoid potential delays, Group 3720/80 is encouraging FAXing of responses to Office Actions directly into the Group at (571) 273-8300. This practice may be used for filing papers not requiring a fee. It may also be used for filing papers which require a fee by applicants who authorize charges to a PTO deposit account. Please identify the examiner and art unit at the top of your cover sheet. Papers submitted via FAX into Group 3720/80 will be promptly forwarded to the examiner.

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11. It is called to applicant's attention that if a communication is faxed before the reply time has expired, applicant may submit the reply with a "Certificate of Facsimile" which merely asserts that the reply is being faxed on a given date. So faxed, before the period for reply has expired, the reply may be considered timely. A suggested format for a certificate follows:

Patent a	I hereby certify that this correspondence for Application Serial No is being facsimiled to The U.S and Trademark Office via fax number 571-273-8300 on the date shown below:
	Typed or printed name of person signing this certificate
	Signature
	Date

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robin Hylton whose telephone number is (571) 272-4540. The examiner can normally be reached Monday - Friday, **EXCEPT** Wednesday from 9:00 a.m. to 4:00 p.m. (Eastern time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Stashick, can be reached on (571) 272-4561.

Any inquiry of a general nature or relating to the status of this application or proceeding may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Other helpful telephone numbers are listed for applicant's benefit:

- Allowed Files & Publication (888) 786-0101
- Assignment Branch (800) 972-6382
- Certificates of Correction (703) 305-8309
- Fee Questions (571) 272-6400
- Inventor Assistance Center (800) PTO-9199
- Petitions/special Programs (571) 272-3282
- Power of Attorney or Change of Address (via Applicant Assistance Unit) 571-272-4000
- Information Help line 1-800-786-9199
- Internet PTO-Home Page http://www.uspto.gov

March 25, 2011

/Robin A. Hylton/ Robin A. Hylton Primary Examiner GAU 3781